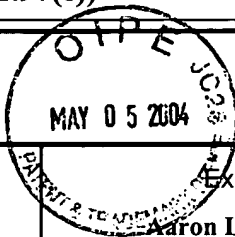


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112300-820

In Re Application Of: **Anthony J. Baerl cher et al.**



Serial No.
09/919,022

Filing Date
July 31, 2001

Examiner
Aaron L. Enatsky

Group Art Unit
3713

Title: **GAMING DEVICE WITH BONUS SCHEME HAVING MULTIPLE SYMBOL MOVEMENT AND ASSOCIATED AWARDS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(e); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

112300-820

In Re Application: **Anthony J. Baerlocher et al.**

Serial No.

09/919,022

Filing Date

July 31, 2001

Examiner

Aaron L. Enatsky

Group Art Unit

3713

GAMING DEVICE WITH BONUS SCHEME HAVING MULTIPLE SYMBOL MOVEMENT AND ASSOCIATED AWARDS**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **April 30, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.



Signature

Dated: **April 30, 2004****Adam H. Masia (Reg. No. 35,602)****Bell, Boyd & Lloyd LLC****P.O. Box 1135****Chicag , IL 60690-1135****Phone: 312-807-4284**

CC:

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 112300-820	Application No. 09/919,022
	Applicant Anthony J. Baerlocher et al.	
	Filing Date July 31, 2001	Group 3713

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Andy Capp Game Description written by Bally Gaming Systems, published in 2001.
	Andy Capp Article written by Strictly Slots published in February, 2002.
	Bally Live! Special Global Gaming Expo 2002 Issue written by Bally Gaming Systems, published in Fall 2002.
	Easy Street Advertisements, printed on January 15, 2001.
	Pink Panther Advertisement and Article, written by International Game Technology, published in 2000.

Examiner:	Date Considered:
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher, et al.
Appl. No.: 09/919,022
Conf. No.: 3718
Filed: July 31, 2001
Title: GAMING DEVICE WITH BONUS SCHEME HAVING MULTIPLE
SYMBOL MOVEMENT AND ASSOCIATED AWARDS
Art Unit: 3713
Examiner: Aaron L. Enatsky
Docket No.: 112300-820

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
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OTHER DOCUMENTS

Andy Capp Game Description written by Bally Gaming Systems, published in 2001.

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Pink Panther Advertisement and Article, written by International Game Technology,
published in 2000.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY _____

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Phone: (312) 807-4284

Dated: April 30, 2004